President's Executive Order on ACE and the Single Window

On February 19th, President Barack Obama signed an Executive Order (EO) streamlining the Import and Export process for America's businesses. The EO mandates the completion of ITDS and the electronic "single-window" by 2016. To review the EO and/or Fact Sheet, please click on the following hyperlinks:

- *EO -* http://www.whitehouse.gov/the-press-office/2014/02/19/executive-order-streamlining-exportimport-process-america-s-businesses

- *EO Fact Sheet -* http://www.whitehouse.gov/the-press-office/2014/02/19/fact-sheet-president-obama-sign-executive-order-streamlining-exportimpor

Automated Commercial Environment (ACE)/Automated Export System (AES) Re-Engineering

On April 5, 2014, as part of ACE Deployment C, Customs and Border Protection (CBP) will migrate the legacy AES to a re-engineered ACE platform. CBP's re-engineering efforts implement export capabilities into ACE and will deactivate the AES legacy mainframe application. This move places the legacy AES in a modernized system architecture that increases efficiency and brings down operating and future development costs.

In addition to the AES re-engineering effort, Deployment C will also include the following:

- U.S. Bureau of the Census export regulatory changes related to post-departure filing times, additional data elements and expanded identifiers.
- Bureau of Industry (BIS) export regulatory changes modifying licensing requirements as part of the President's Export Control Reform Initiative.
- The Advance Export Information (AEI) pilot run by the Census Bureau and CBP's Office of Field Operations to determine program utility. This functionality will NOT be implemented until further notification from Census and CBP.

CBP is encouraging export filers to participate in AES Certification testing. This testing became available in January 2014. CBP is also working on development of the export manifest in ACE, targeted for deployment in January 2015. As part of this effort, CBP posted draft versions of air, ocean and rail *ACE Export Manifest Implementation Guidelines (IGs)* to CBP.gov.

CBP continues to engage all stakeholders on the export development process and is working closely with trade members from the Trade Support Network (TSN), the Export Taskforce Group, PGA members from the International Trade Data System (ITDS) Board of Directors from BIS and Directorate of Defense Trade Controls.

For additional information about the new and enhanced AES capabilities in ACE or to download the *ACE Export Manifest IGs,* please visit the "Exports" page of CBP.gov/ACE or you may click on the hyperlinks posted above.

Reminder: Document Image System (DIS) Overview
CBP has recently received several questions on DIS. CBP developed DIS as an ITDS capability to provide ACE Entry Summary filers the ability to electronically submit documents to the Government for compliance with import and export regulations. DIS may be used to electronically respond to CBP and PGA solicited document requests and eliminates the need to submit paper documents. Certain documents may be transmitted without a prior request from CBP or a PGA and will require the document name and transaction to be provided at the time of transmission. The unsolicited documents include:

- PGA forms listed below, commercial invoices and packing lists associated with ACE entry summaries certified for cargo release;
- Documents transmitted at the time of manifest; and
- Annual permits, certificates, and licenses.

All original documents transmitted via DIS must always be retained and made available, if requested by CBP or a PGA.

Additional DIS trade benefits include:

- Initiation of single window submissions from trade to CBP and PGAs.
- Nationwide visibility by CBP and PGAs to trade-submitted documents.
- Central repository for documents submitted by the trade.
- Reduction in time and cost surrounding paper processes.

On April 6, 2012, CBP published a Federal Register Notice (*FRN, 77 FR 20835*), announcing the commencement of a National Customs Automation Program (NCAP) test concerning Document Imaging. As of today, the DIS test is in phase two and additional supporting documents have been added and metadata elements have been reduced since the pilot's inception. The following documents are currently supported in DIS:

- **General Forms (not specific to any one Agency)**

  - ☐ Phytosanitary Certificate
  - ☐ Ingredients List
  - ☐ Commercial Invoice
  - ☐ Packing List
  - ☐ Invoice Working Sheet

- **Forms to support ACE Cargo Release**

  - ☐ Packing List
  - ☐ Commercial Invoice
  - ☐ Passport/Driver's License/Government-Issued ID
  - ☐ CBP Form 3299 - Declaration for Free Entry of Unaccompanied Articles
  - ☐ CBP Form 4455 - Certificate of Registration
  - ☐ CBP Form 4457 - Certificate of Registration for Personal Effects Taken Abroad
  - ☐ CBP Form 3229 - Certificate of Origin
  - ☐ Vehicle Title, Certificate
  - ☐ Permit
  - ☐ Other documents to support CBP Form 3461 Entry/Immediate Delivery

- **Ocean Export Manifest (submitted via email)**

  - ☐ Export Manifest (1302A) including Roll On/Roll Off (RO/RO) Export Manifest
  - ☐ Bills of Lading
  - ☐ Declarations of Empties
  - ☐ Image, text files, or spreadsheet files
  - ☐ Any additional supporting documents; letters etc.

- **Animal and Plant Health Inspection Services (APHIS)**

  - ☐ Plant Protection and Quarantine (PPQ) Form 368 – Notice of Arrival
  - ☐ PPQ Form 587 - Permit to Import Plants or Plant Products
  - ☐ PPQ Form 586 - Permit To Transit Plants and/or Plant Products, Plant Pests, and/or Associated Soil Through The United States
  - ☐ PPQ Form 203 - Foreign Site Certificate of Inspection and/or Treatment

- **Centers for Disease Control and Prevention (CDC)**

  - ☐ Importation Permission Letter
  - ☐ Permit Exemption Letter
  - ☐ Form 0728 - Permit to Import or Transfer Etiological Agents or Vectors of Human Disease

- **Defense Contract Management Agency (DCMA)**

  - ☐ Certificate of Duty Free Entry

- **Environmental Protection Agency (EPA)**

  - ☐ Pesticide Label
  - ☐ EPA Form 3540-1- Notice of Arrival of Pesticides and Devices
  - ☐ Pre-Approved Vehicle/Engine Exemption
  - ☐ EPA Form 3520-21- Importation of Motor Vehicles and Engines (off-road)
  - ☐ EPA Form 3520-1- Importation of Motor Vehicles and Engines (on-road)
  - ☐ Toxic Substances Control Act (TSCA) Import Certification Form

- **Food Safety and Inspection Service (FSIS)**

  - ☐ FSIS Form 9010-1 - Application for the Return of Exported Products to the United States
  - ☐ FSIS Form 9060-5 - Meat Poultry Export Certificate of Wholesomeness
  - ☐ FSIS Form 9540-4 - Shipper Notification – Importation of Undenatured Inedible Meat Product
  - ☐ FSIS Form 9540-5 - Notification of Intent

- **National Marine Fisheries Service (NMFS)**

  - ☐ Toothfish Pre-Approval
  - ☐ NOAA 370 Fisheries Certificate of Origin

To participate in DIS or for any technical questions, please contact your assigned client representative and your software provider. For DIS policy-related questions, please contact Monica Crockett, Director, Entry Summary, Accounts and Revenue, ACE Business Office at Monica.V.Crocket@cbp.dhs.gov.

CBP is encouraging filers and vendors to test DIS capabilities. The *DIS Implementation Guides (IGs)* and other DIS related documents are available on the "ACE EDI Messages" page of CBP.gov under the topics "CATAIR/ACE ABI CATAIR/ACE ABI CATAIR Chapters." To view the original DIS FRN or any of the DIS IGs, you may click on the hyperlinks above.

Recent ACE Updates
Please note that it may be necessary to copy and paste the hyperlinked URLs to your internet browser.

Updated ACE Documentation Now Available on CBP.gov
CBP has posted updated versions on CBP.gov of the *ACE Development and Deployment Schedule* and the *ACE Stakeholder Product Backlog.* The *ACE Development and Deployment Schedule* reflects CBP's plan for developing core trade processing capabilities in ACE, and lists the planned deployment dates and the three mandatory use dates for ACE.

The *ACE Stakeholder Product Backlog* provides a sequenced listing of the core trade processing features planned to be developed in ACE and a brief description of each feature.

CBP has also posted the January 2014 update of the *ACEopedia* to CBP.gov. The *ACEopedia* is updated monthly and provides the latest information on current deployed ACE capabilities and information on future CBP development efforts.

To access the updated *ACE Development and Deployment Schedule*s, *ACE Stakeholder Product Backlog or ACEopedia*, please visit the "What's New with ACE?" page of CBP.gov/ACE or to download a copy directly, please click the hyperlinks above.

Reminder of the Month

Coming Soon: ACE Deployment C
CBP will deliver ACE Deployment C capabilities on April 5, 2014. In addition to the export capabilities, Deployment C also includes expansion elements of the ACE Cargo Release pilot and additional Entry Summary validations. For information about ACE development and upcoming deployments, please visit the "What's New with ACE?" page of CBP.gov/ACE and subscribe to the Cargo Systems Messaging Service (CSMS) to receive important ACE information via email.

ACE Reports Tip
CBP has recently received questions from ACE Portal users having difficulties viewing all available commands on the main toolbar inside the ACE reports interface. To view all available commands on the main toolbar, please "maximize" or set your Internet browser to "full screen."

Your Question:

Is there a separate post summary correction (PSC) report?

There is no separate report; however, you can add PSC data elements to either the ESM 7008 Entry Summary Line Detail Report or the ESM 7068 Entry Summary Report. The PSC data elements are located in the Summary Header and Line/PSC class/sub-class.